Riga, 27 March 2018, (prot. No 17 6. §)

Regulations for operating systems used to sell interactive gambling, interactive lottery and lottery tickets and collect entry fees

Issued in accordance with the Law on Gambling and Lotteries Paragraph Two of Section 47, Paragraph Three of Section 72, and Paragraph Seven of Section 80.

I General Provisions

1. These regulations shall determine:

    1.1. The main components of an interactive gambling and interactive lottery operating system (hereafter - interactive game operating system) and the information that shall be submitted to the Lotteries and Gambling Supervisory Inspection (hereafter - the Inspection) on the interactive game operating system to be used for interactive gambling or interactive lottery, as well as on the procedure that enables changes to the interactive game operating system the components thereof;

    1.2. The security measures of an interactive game operating system that are necessary to prevent any person from influencing the outcome of interactive gambling or an interactive lottery, and personal data protection measures that shall be assessed by an opinion from a certification institution on the test results of the interactive game operating system;

    1.3. The information that shall be stored in the interactive game operating system and the information storage term;

    1.4. The main components of an operating system used in nationwide numerical lotteries or instant lotteries with a money or goods prize to sell tickets or collect entry fees made by other means using electronic communications (hereafter - the sale of lottery tickets and collection of lottery entry fees) and the information that shall be submitted to the Inspection on the operating system for the sale of lottery tickets and collection of lottery entry fees;

    1.5. The security measures of an operating system for the sale of lottery tickets and collection of lottery entry fees designed to prevent any person from influencing the outcome of lottery ticket sales, and the personal data protection measures.

2. The operator of interactive gambling or interactive lotteries (hereafter - interactive game operator) shall use an interactive game operating system that consists of a game programme, devices, including a computer or mutually linked computers, their software, as well as other equipment that enables the functions of the interactive game operating system.

3. The information described in Chapter III of these regulations shall be stored in the interactive game operating system to ensure the conformity of interactive gambling and interactive lotteries with the foreseen security measures, to prevent any person from influencing the outcome or interactive gambling or interactive lotteries, and to provide protection of society's interests and player rights.

4. The operator of the sale of lottery tickets and collection of lottery entry fees shall use the operating system for the sale of lottery tickets and collection of lottery entry fees that consists of a programme for the sale of lottery tickets and collection of lottery entry fees, devices and

their software, as well as other equipment that enables the functions of the system for the sale of lottery tickets and collection of lottery entry fees.

5. The requirements of the regulations do not apply to devices used at the point of sales of lottery tickets – lottery ticket and coupon registration terminals.

II Submitting information on changes in the interactive game operating system and the components thereof

6. The operator of an interactive game shall submit to the Inspection a description of the interactive game operating system indicating the devices and game programmes it consists of, their producer and type, the interactive gambling or interactive lottery in the operation of which these devices and game programmes are interacting, as well as the manual of the interactive game operating system.

7. The operator of an interactive game shall submit to the Inspection an opinion by a certification body certifying that the relevant interactive game operating system corresponds to the security and personal data protection measures of an interactive game operating system as described in Chapter III of these regulations.

8. The operator of interactive gambling – game of chance by phone – shall submit to the Inspection an opinion by a certification body certifying that the relevant interactive game operating system corresponds to the requirements described in Paragraphs 11 and 12 and Subparagraph 13.9. of these regulations, as well as a manual of the interactive game operating system.

9. The interactive game operator who would like change an interactive game operating system in a way that has an effect on the information included in the opinion of the certification body referred to in the Paragraphs 7 and 8 of these regulations, shall notify the Inspection in writing and in accordance with the procedure described in these regulations on the type of changes planned and their effect on the functioning of the interactive game operating system.

10. The operator of an interactive game can change the interactive game operating system or its components in the cases described in Paragraph 9 of these regulations, if the relevant authorisation has been issued by the Inspection. The decision to grant or refuse authorisation shall be taken by the Inspection within 30 working days after the receipt of the application.

III Interactive game operating system operation principles, security and personal data processing and protection

11. The interactive game operating system shall operate on a principle of randomness and conform with the following requirements:

11.1. Interactive gambling or interactive lottery (hereafter – interactive game) outcome probabilities shall be based on the principle of randomness;

11.2. The outcome of an interactive game shall be unpredictable, meaning that it shall not be possible to calculate the next combination of numbers or symbols, even if there is information available about the previously created combinations, their calculation algorithm or computation hardware, that calculates the next number of symbols combinations.

12. The outcome of an interactive game and the player's prize shall not depend on:

12.1. The player computer equipment's or device's central processing unit, memory, hard disk volume or other components of the computer equipment or device or other appliance that can be used in an interactive game (hereafter – player's computer equipment);

12.2. The bandwidth of the internet connection, type of connection, bit error rate or other communication channel characteristics used by a player to maintain communications between the game operating system and the player's computer equipment.

13. The interactive game operating system shall store information on all interactive games played by the player for at least the last five years, including the following information:

13.1. Player information – First name, surname, identity code and date of birth;

13.2. Information on the player's account in a credit institution used by the player to make bets in an interactive game;

13.3. Status of the player's game account at the beginning of the interactive game;

13.4. Time when the interactive game was started;

13.5. During the interactive game:

13.5.1. Bets made from the player's game account, indicating the time;

13.5.2. Entry fee paid by the player, indicating the time;

13.5.3. Ticket price, indicating the time of payment;

13.6. Status of the interactive game played by the player (for example, ongoing, finished);

13.7. Outcome of the interactive game, indicating the time it was produced;

13.8. Time when the interactive game was finished;

13.9. Amounts won or lost by the player;

13.10. Status of the player's game account at the end of the interactive game.

14. The operator of an interactive game shall protect the player's account from illegal access and shall prevent illegal money withdrawal from the game account.

15. The interactive game operator shall store all information on actions performed in the player's account in order to be able to restore it after a complete breakdown of the game operating system.

16. The interactive game operator shall store an answer to a control question in an encrypted or secret form in order to establish the player's identity if such a test is applied.

IV Provision of information on the operating system for the sale of lottery tickets and collection of lottery entry fees and its security and personal data protection measures

17. The operator of the sale of lottery tickets and collection of lottery entry fees shall submit to the Inspection a description of the operating system for the sale of lottery tickets and collection of lottery entry fees, indicating the devices and programmes it consists of, their producer and the way these devices and programmes interact in operating the sale of lottery tickets and collection of lottery entry fees, as well as a manual for the operating system for the sale of lottery tickets and collection of lottery entry fees.

18. The operator of the sale of lottery tickets and collection of lottery entry fees shall submit to the Inspection information that certifies that the relevant operating system for the sale of lottery tickets and collection of lottery entry fees complies with the following security and personal data protection measures:

18.1. The player's game account in the operating system for the sale of lottery tickets and collection of lottery entry fees shall be protected from illegal access and shall prevent illegal money withdrawal from the game account;

18.2. All information on actions done in the player's game account in the operating system for the sale of lottery tickets and collection of lottery entry fees shall be stored

in such a way that it can be restored in case of a complete breakdown of the operating system for the sale of lottery tickets and collection of lottery entry fees;

18.3. The operator of the operating system for the sale of lottery tickets and collection of lottery entry fees shall store:

18.3.1. Information on the player's account in a credit institution used by the player to pay for the lottery ticket or the lottery entry fee, except for cases where the payment is made using a payment card;

18.3.2. The answer to a control question in order to establish the player's identity if such a test is applied.

V Final provisions

19. Subparagraphs 1.4 and 1.5, Paragraph 4 and Chapter III of these regulations shall enter into force July 1 2018.

20. Information, that the operator of an interactive game has acquired by implementing the Cabinet of Ministers regulations No 853 from 17 October 2006 "Procedure for submitting information on interactive gambling and lottery operating programmes, security and personal data protection measures", shall be stored for a duration indicated in the abovementioned regulations.

Prime Minister,
acting Minister of Health *Māris Kučinskis*

On behalf of the Minister of Finance –
Minister of Transport *Uldis Augulis*